PTO/SB/21 (08-03)

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Application Number	oplication Number 10/661,043	
Filing Date	September 12, 2003	
First Named Inventor	Judith E. Schwabe	
Art Unit	Unassigned	
Examiner Name	Unassigned	
Attorney Docket Number	SUN-P4172CNT	

tted to the USPTO or deposited with the United States Postal

Date

ENCLOSURES (abook all that apply)				
ENCLOSURES (check all that apply)				
Fee Transmittal Fe	orm	Drawing(s)	After Allowance Communication to Group	
Fee Attached		Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences	
Amendment / Rep	ily	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final		Petition to Convert to a Provisional Application	Proprietary Information	
Affidavits/decl	aration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter	
Extension of Time	Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):	
Express Abandon	ment Request	Request for Refund CD, Number of CD(s)	1449 citing 27 pieces of art	
Information Disclo	sure Statement	,		
Certified Copy of I	Priority	Remarks		
Response to Miss Incomplete Applic				
Response to I Parts under 3 1.52 or 1.53		·		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm or Individual name	John P. Schaub, Reg. No. 42,125			
Signature				
Date	4/30	104		
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This collection of information is required by 37 CFR 1.5. The information is equired to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending up to the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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below.

Docket No. SUN-P4172CNT (811173-000431)



THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Judith E. Schwabe

SERIAL NO.:

10/661,043

FILING DATE:

September 12, 2003

TITLE:

Remote Incremental Program Verification Using API Definitions

EXAMINER: .

Unassigned

ART UNIT:

Unassigned

CERTIFICATE OF MAILING

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INFORMATION DISCLOSURE STATEMENT

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached (unless the blanket waiver referred to below applies), may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to review, consider and document each such item in the official record of this application.

Note: If this box is checked, this case was filed after June 30, 2003 and qualifies for the blanket waiver of deposit of copies of U.S. Patents and U.S. Patent Application

Publications in accordance with the written waiver of 37 CFR §1.98 (a)(2)(i) dated July 11, 2003. Accordingly, such copies are not attached.

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

I

This statement is filed pursuant to (CHECK ONE BOX):

X 37 C.F.R. § 1.97(b). This information disclosure statement is filed either: (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; (3) before the mailing date of a first office action on the merits; or (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114, whichever event occurs last. Accordingly, this information disclosure statement requires no fee and no certification. 37 C.F.R. § 1.97(c). This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing date of any of the following: (1) a final action under 37 C.F.R. § 1.113; (2) a notice of allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application:

Accordingly, this information disclosure statement requires either:

- (1) the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c); or
 - (2) a certification according to 37 C.F.R. § 1.97 (e)(1) or (2).

____ 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires:

- (1) a certification in accordance with 37 C.F.R. § 1.97(e); and
- (2) the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

W.100		
	П	
Fees Due (C	HECK ONE BOX):	
\boxtimes	No fee is due.	
	The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) or 37 C.F.R. § 1.97(d) is enclosed (\$180).	
	·	
Certification (CHECK ONE BOX):		
\boxtimes	No certification is necessary.	
	Pursuant to 37 C.F.R. § 1.97(e)(1), the undersigned hereby certifies: That each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.	
	Pursuant to 37 C.F.R. § 1.97(e)(2), the undersigned hereby certifies: No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this information disclosure statement.	

Docket No. SUN-P4172CNT (811173-000431)

IV

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698.

Respectfully submitted, THELEN REID & PRIEST LLP

Dated: April 30, 2004

John P. Schaub Reg. No. 42,125

THELEN REID & PRIEST LLP P.O. Box 640640 San Jose, CA 95164-0640 (408) 292-5800 direct dial (408) 287-8040 direct fax

Atty. Docket No. Serial No. Form PTO 1449 09/661,043 SUN-P4172CNT U.S. Department of Commerce (Rev. 2-32) Patent and Trademark Office Applicant: Judith E. Schwabe Information Disclosure Statement by Applicant Group: September 12, 2003 Unassigned (Use several sheets if necessary) **U.S. Patent Documents** Subclass Filing Date Class Name Document No. Date Init. Wentker et al. 2002/0040936 4/11/02 Α 4/15/03 Chrysos et al. 6,549,930 В Sauntry et al. 2/19/02 $\overline{\mathsf{C}}$ 6,349,344 Arnold et al. 10/15/02 6,466,947 D Carter et al. 2/11/03 6,519,767 E Fitzgerals 4/18/95 F 5,408,665 Gossain et al. 10/26/99 G 5,974,255 Aizikowitz et al. Н 6,526,571 2/25/03 5/8/01 Halstead et al. Ī 6,230,318 1/4/00 Cohen et al. 6,011,918 J Chen-Kuang 6/5/01 K 6,243,859 7/17/01 Sheng 6,262,492 L 9/26/00 Maves et al. M 6,125,442 Chan et al. 12/1999 N 6,005,942 Edmonds et al. 5,022,028 6/1991 0 Fieres et al. 6,178,504 1/2001 P 5/2002 Eyal 6,389,467 Q 3/2002 Kavanagh et al. R 6,360,334 3/2001 Southgate 6,205,579 S 2/2002 Parthasarathy et al. T 6,347,398 12/2003 Cheng et al. U 6,668,289 6/2002 Cheng et al. $\overline{\mathsf{v}}$ 6,405,309 3/2001 Nguyen et al. w 6,202,070 5,925,140 7/1999 Hudson X Burnard et al. Y RE 37,722 5/28/02 **Foreign Documents** Translation Yes No Subclass Class Date Country Document No. Init. WO Z 98/43212 10/1/98 Other Documents (Including Author, Title, Date, Pertinent Pages, etc.) Zhao, Jianjun, "Applying Program Dependence Analysis To Java Software", Department of Computer Science and Engineering, pp. 1-8. Date Considered Examiner Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.